

The independent pension platform

Application to open a deposit with Liberty Foundation for 3a Retirement Savings

3a Retirement Savings account/deposit Client

Account/deposit number _____

Name _____ First name _____

Investment strategy in the case of a securities solution

Liberty offers the following choice of investment strategies. The Client chooses one or more of these strategies based on the duly completed risk check and risk profile, or empowers his representative to make the choice (see attached Authority). If several products are chosen, please indicate the desired breakdown. For «Secure Bond Invest» and «Multi Fund Invest» solutions, the Foundation will contact the Client.

Cash Invest

Cash deposits of CHF 100 000 or higher

Cash deposits	Term	Breakdown
Cash (at least 5%)		_____ %
Certificates of deposit	<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 years	_____ %
Time deposits	<input type="checkbox"/> 3 <input type="checkbox"/> 6 <input type="checkbox"/> 9 <input type="checkbox"/> 12 month <input type="checkbox"/> CHF <input type="checkbox"/> GBP <input type="checkbox"/> EUR <input type="checkbox"/> USD	_____ %

Other forms required: representation authority (if applicable)

SecureBond Invest

Gilt-edged bond (e.g. federal and cantonal bonds) from CHF 100 000

Other forms required: representation authority (if applicable)

BVG/LPP funds Invest

BVG/LPP-compliant funds from CHF 1 (max. 5 funds)

BVG/LPP-compliant funds	Investment strategy in % equities	Breakdown
Cash (at least 5%)		_____ %
Sarasin Investment Foundation	<input type="checkbox"/> 15 <input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45 <input type="checkbox"/> Oeko 25 <input type="checkbox"/> Oeko 40	_____ %
Credit Suisse Investment Foundation	<input type="checkbox"/> 0 <input type="checkbox"/> 35 <input type="checkbox"/> Index 45	_____ %
IST Investment Foundation	<input type="checkbox"/> 30	_____ %
Pictet Funds AG	<input type="checkbox"/> 25 <input type="checkbox"/> 40	_____ %
Swisscanto Investment Foundation	<input type="checkbox"/> 10 <input type="checkbox"/> 25 <input type="checkbox"/> 45	_____ %
Winterthur AWI Investment Foundation	<input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45	_____ %
Zurich Investment Foundation	<input type="checkbox"/> 0 <input type="checkbox"/> 25	_____ %
Privatbank Reichmuth & Co	<input type="checkbox"/> Voralpin <input type="checkbox"/> Alpin <input type="checkbox"/> Real Unit	_____ %
Aquila & Co. AG (Apenso)	<input type="checkbox"/> 25 <input type="checkbox"/> 40	_____ %
Swiss Life Investment Foundation	<input type="checkbox"/> 15 <input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45	_____ %
Arecon AG	<input type="checkbox"/> Strategy Fund 4-7% BVV2	_____ %

Other forms required: risk check and representation authority (if applicable)

Multi Fund Invest

Mutual or Index/ETF fund solutions from CHF 250 000
 supplemented by Cash Invest or SecureBond Invest

Choice of custodian bank:
 Lienhardt & Partner Credit Suisse Clariden Leu Swissquote

In addition to my CHF account, please open the following foreign currency accounts:

EUR USD GBP

Other forms required: Risk check, strategy sheet and representation authority (if applicable)

Direct Invest

Direct investments from CHF 750 000 (only through asset managers subject to FINMA oversight)

Choice of custodian bank:

<input type="checkbox"/> Lienhardt & Partner	<input type="checkbox"/> Clariden Leu	<input type="checkbox"/> acrevis Bank AG
<input type="checkbox"/> Credit Suisse	<input type="checkbox"/> Lombard Odier Darier Hentsch & Cie	<input type="checkbox"/> Zürcher Kantonalbank
<input type="checkbox"/> Bank Sarasin & Co. AG	<input type="checkbox"/> UBS AG	<input type="checkbox"/> Sparkasse Schwyz

In addition to my CHF account, please open the following foreign currency accounts:

EUR USD GBP

Other forms required: Risk check, strategy sheet and asset management mandate

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NB At least one application form is required per deposit.

Pursuant to Article 71(1) BVG/LPP, Articles 49 to 58 BVV2/OPP2 and Article 5 BVV3/OPP3, the investment strategy must be implemented by the Client himself or his representative, or in the context of an asset management mandate with a bank, securities broker, fund manager or asset manager of Swiss collective investment units under FINMA regulatory supervision.

If the Client or his representative handles the investments, only gilt-edged fixed-rate direct investments (such as federal and cantonal bonds, or certificates of deposit and time deposits with Swiss banks) and collective investment funds regulated by FINMA or approved by it for distribution in Switzerland or issued by Swiss investment funds are authorised.

Declaration I hereby declare that the indications provided herein are true and accurate and I request the opening of the referenced 3a Retirement Savings securities deposit account(s). I further confirm that I have read and understood the Foundation Regulations and the General Terms and Conditions and that I accept their contents.

I confirm that, in the framework of the extended legal and regulatory investment possibilities, the Consultant or Asset Manager has informed me about the risks inherent in the chosen investment strategy; furthermore, I am aware that investment in securities and other assets may lead to losses (e.g. on asset values, interest rates, foreign exchange or counterparts) and that I alone bear that risk. Moreover, I acknowledge that positive past trends do not guarantee positive performance in the future and that, apart from the risk check, I have not received any legal, tax or other advice.

Signature Place, date Client's signature

Attachments

- Risk check and risk profile
- Strategy sheet
- Representation or asset management authority

**Consultant/
Asset Manager** (to be filled in only by the Consultant or Asset Manager)

Consultant Asset Manager

The undersigned confirms that he has duly satisfied his obligation to inform the Client and provide guidance. That includes, among other things, explaining to the Client in the case of a securities solution the risk check and risk profile designed to establish the Client's capacity for risk, drawing the Client's attention to the risks inherent in securities solutions, especially if recourse is made to extended investment possibilities, and pointing out the absence of risk or lower risk involved in an account solution.

Comments to the Foundation:

Name, first name Company, contract N°

Place, date Signature Consultant/Asset Manager

**Internal
Account
opening** (to be completed by the Foundation)

Account / deposit number

Complete contents
 Complete documentation at hand
 Risk check verified

Place, date Signature of Foundation

Schwyz,