

The independent pension platform

## Change in Strategy

**3a Retirement Savings account/deposit Client particulars**

Account/deposit number \_\_\_\_\_

Name \_\_\_\_\_

First Name \_\_\_\_\_

**Change in Investment Strategy**

I hereby instruct Liberty Foundation for 3a Retirement Savings (the "Foundation") to execute the following change in strategy:

Current investment strategy	Investment strategy in % equities	Distribution
Cash		_____ %
Sarasin Investment Foundation	<input type="checkbox"/> 15 <input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45 <input type="checkbox"/> Oeko 25 <input type="checkbox"/> Oeko 40	_____ %
Credit Suisse Investment Foundation	<input type="checkbox"/> 0 <input type="checkbox"/> 35 <input type="checkbox"/> Index 45	_____ %
IST Investment Foundation	<input type="checkbox"/> 30	_____ %
Pictet Funds AG	<input type="checkbox"/> 25 <input type="checkbox"/> 40	_____ %
Swisscanto Investment Foundation	<input type="checkbox"/> 10 <input type="checkbox"/> 25 <input type="checkbox"/> 45	_____ %
Winterthur AWi Investment Foundation	<input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45	_____ %
Zurich Investment Foundation	<input type="checkbox"/> 0 <input type="checkbox"/> 25	_____ %
Privatbank Reichmuth & Co	<input type="checkbox"/> Voralpin <input type="checkbox"/> Alpin <input type="checkbox"/> Real Unit	_____ %
Aquila & Co. AG (Apenso)	<input type="checkbox"/> 25 <input type="checkbox"/> 40	_____ %
Swiss Life Investment Foundation	<input type="checkbox"/> 15 <input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45	_____ %
Arecon AG	<input type="checkbox"/> Strategy Fund 4-7% BVV2	_____ %
UBS Investment Foundation	<input type="checkbox"/> 12 <input type="checkbox"/> 25 <input type="checkbox"/> 40 <input type="checkbox"/> Ind. 12 <input type="checkbox"/> Ind. 25 <input type="checkbox"/> Ind. 40	_____ %

New investment strategy effective from _____	Investment strategy in % equities	Distribution
Cash		_____ %
Sarasin Investment Foundation	<input type="checkbox"/> 15 <input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45 <input type="checkbox"/> Oeko 25 <input type="checkbox"/> Oeko 40	_____ %
Credit Suisse Investment Foundation	<input type="checkbox"/> 0 <input type="checkbox"/> 35 <input type="checkbox"/> Index 45	_____ %
IST Investment Foundation	<input type="checkbox"/> 30	_____ %
Pictet Funds AG	<input type="checkbox"/> 25 <input type="checkbox"/> 40	_____ %
Swisscanto Investment Foundation	<input type="checkbox"/> 10 <input type="checkbox"/> 25 <input type="checkbox"/> 45	_____ %
Winterthur AWi Investment Foundation	<input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45	_____ %
Zurich Investment Foundation	<input type="checkbox"/> 0 <input type="checkbox"/> 25	_____ %
Privatbank Reichmuth & Co	<input type="checkbox"/> Voralpin <input type="checkbox"/> Alpin <input type="checkbox"/> Real Unit	_____ %
Aquila & Co. AG (Apenso)	<input type="checkbox"/> 25 <input type="checkbox"/> 40	_____ %
Swiss Life Investment Foundation	<input type="checkbox"/> 15 <input type="checkbox"/> 25 <input type="checkbox"/> 35 <input type="checkbox"/> 45	_____ %
Arecon AG	<input type="checkbox"/> Strategy Fund 4-7% BVV2	_____ %
UBS Investment Foundation	<input type="checkbox"/> 12 <input type="checkbox"/> 25 <input type="checkbox"/> 40 <input type="checkbox"/> Ind. 12 <input type="checkbox"/> Ind. 25 <input type="checkbox"/> Ind. 40	_____ %

**NB** If the existing risk profile has been exceeded (e.g. by an increase in the equities or foreign exchange content), a new risk profile must be filled in and presented. Otherwise, the desired change in strategy cannot be made.

**Signature**

Place, date \_\_\_\_\_

Signature Client/representative/asset manager \_\_\_\_\_

**Attachments**

- New risk check and risk profile if the existing risk profile has been overstepped.